Decoupled Side Information Fusion for Sequential Recommendation

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code: https://github.com/AIM-SE/DIF-SR.

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Introduction

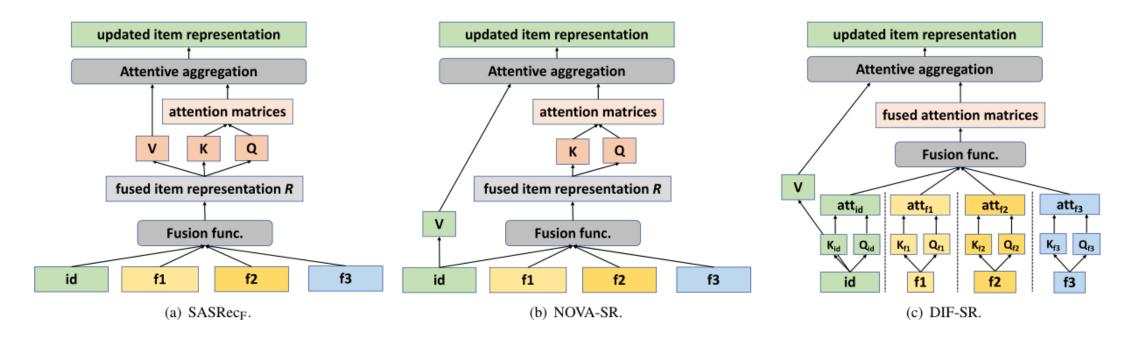


Figure 3: The comparison of item representation learning process of various solutions. (a) SASRec_F: SASRec_F fuses side information into item representation and uses the fused item representation to calculate key, query and value. (b) NOVA-SR: NOVA-SR uses the fused item representation for the calculation of key and query, and keeps value non-invasive. (c) DIF-SR: Instead of early fusion to get fused item representation, the proposed DIF-SR decouples the attention calculation process of various side information to generate fused attention matrices for higher representation power, avoidance of mixed correlation, and flexible training gradient.

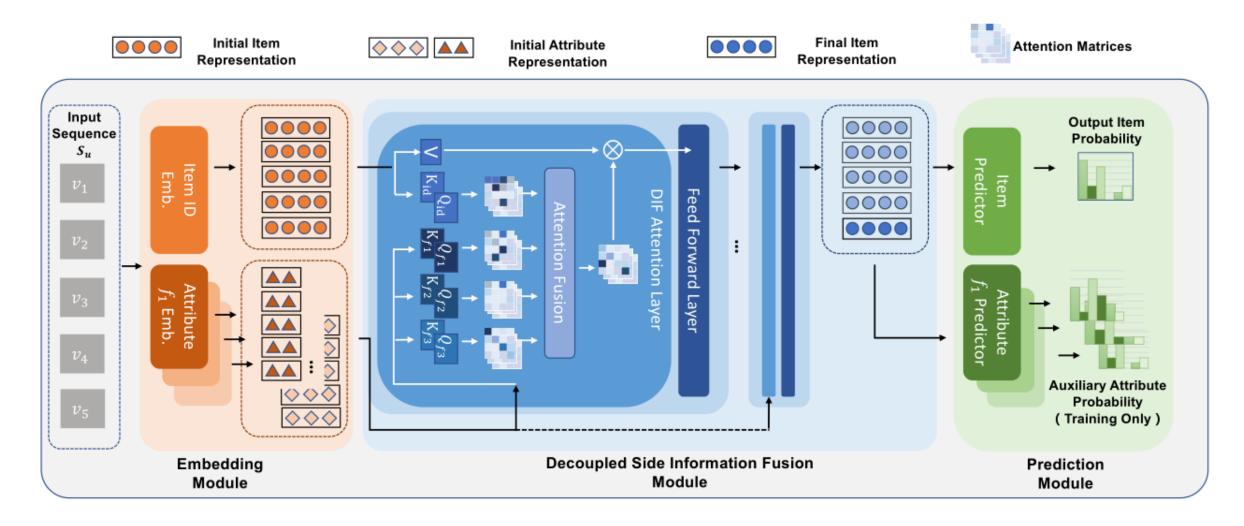
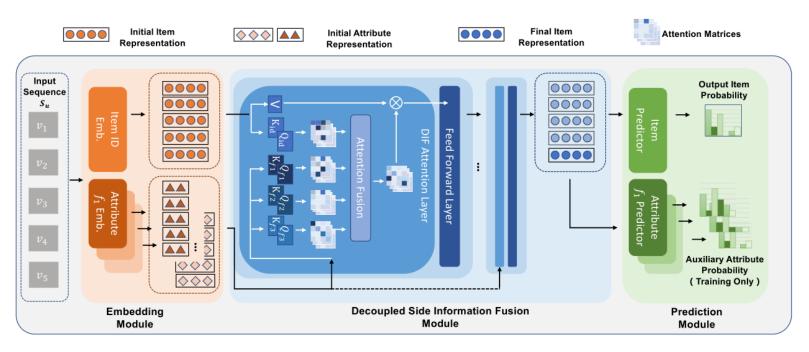


Figure 2: Overview of the proposed network.



Input:
$$\mathbb{S}_u = [v_1, v_2, \dots, v_n]$$

 $v_i = (I_i, f_i^{(1)}, \dots, f_i^{(p)})$

Figure 2: Overview of the proposed network.

$$E^{ID} = \mathcal{E}_{id}([I_1, I_2, \dots, I_n]),$$

$$E^{f_1} = \mathcal{E}_{f_1}([f_1^{(1)}, f_2^{(1)}, \dots, f_n^{(1)}]),$$

$$\dots$$

$$E^{f_p} = \mathcal{E}_{f_p}([f_1^{(p)}, f_2^{(p)}, \dots, f_n^{(p)}]),$$

$$R_{i+1}^{(ID)} = LN(FFN(DIF(R_i^{(ID)}, E^{f_1}, \dots, E^{f_p})))$$

$$R_1^{(ID)} = E^{ID}$$
(3)

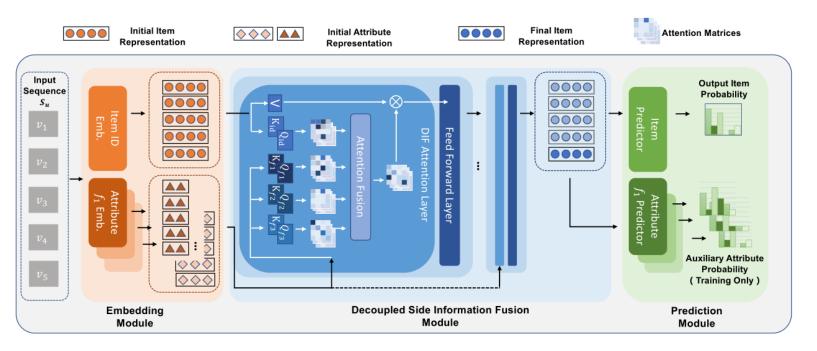


Figure 2: Overview of the proposed network.

$$\operatorname{att}_{ID}^i = (R^{(ID)}W_Q^i)(R^{(ID)}W_K^i)^\top \quad \text{(4)}$$

$$\text{att}_{f1}^{i} = (E^{f1}W_{Q}^{(f1)i})(E^{f1}W_{K}^{(f1)i})^{\top},$$

$$\cdots,$$

$$\text{att}_{fp}^{i} = (E^{fp}W_{Q}^{(fp)i})(E^{fp}W_{K}^{(fp)i})^{\top}.$$

$$(5)$$

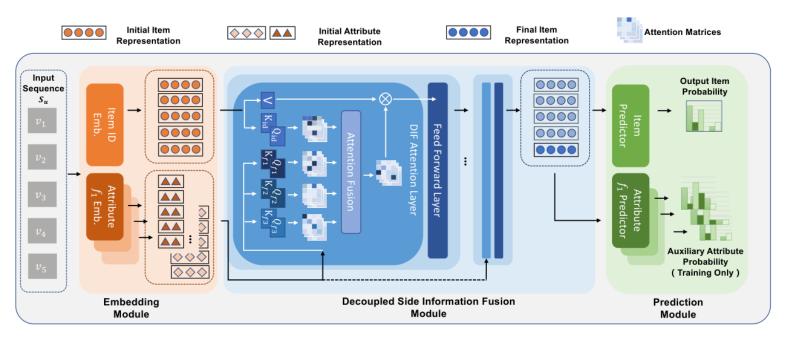


Figure 2: Overview of the proposed network.

DIF_attⁱ =
$$\mathcal{F}(\text{att}_{ID}^i, \text{att}_{f1}^i, \dots, \text{att}_{fp}^i),$$

DIF_headⁱ = $\sigma(\frac{\text{DIF_att}^i}{\sqrt{d}})(R^{(ID)}W_V^i).$

(6)

$$\mathcal{F}_{\mathrm{add}}(f_1,\ldots,f_m) = \sum_{i=1}^m f_i$$

$$\mathcal{F}_{concat}(f_1,\ldots,f_m) = \mathbf{FC}(f_1 \odot \cdots \odot f_m)$$

$$\mathcal{F}_{\text{gating}}(f_1, \dots, f_m) = \sum_{i=1}^m G^{(i)} f_i$$
$$G = \sigma(FW^F)$$

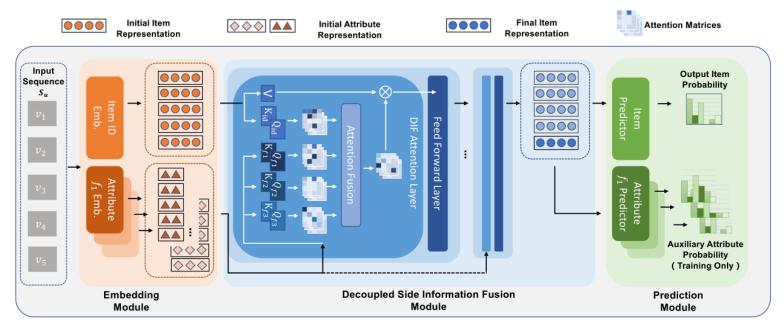


Figure 2: Overview of the proposed network.

$$\hat{y} = \operatorname{softmax}(M_{id}R_L^{(ID)}[n]^\top) \tag{7}$$

$$\hat{y}^{(fj)} = \sigma(W_{fj} R_L^{(ID)} [n]^\top + b_{fj})$$
 (8)

$$L_{id} = -\sum_{i=1}^{|I|} y_i \log(\hat{y}_i) \tag{9}$$

$$L_{fj} = -\sum_{i=1}^{|fj|} y_i^{(fj)} \log(\hat{y}_i^{fj}) + (1 - y_i^{(fj)}) \log(1 - \hat{y}_i^{(fj)})$$
 (10)

$$L = L_{id} + \lambda \sum_{j=1}^{p} L_{fj}$$
 (11)

Table 1: Statistics of the datasets after preprocessing.

| Dataset | Beauty | Sports | Toys | Yelp |
|-----------------------|---------|---------|---------|---------|
| # Users | 22,363 | 35,598 | 19,412 | 30,499 |
| # Items | 12,101 | 18,357 | 11,924 | 20,068 |
| # Avg. Actions / User | 8.9 | 8.3 | 8.6 | 10.4 |
| # Avg. Actions / Item | 16.4 | 16.1 | 14.1 | 15.8 |
| # Actions | 198,502 | 296,337 | 167,597 | 317,182 |
| Sparsity | 99.93% | 99.95% | 99.93% | 99.95% |

Table 2: Overall performance. Bold scores represent the highest results of all methods. Underlined scores stand for the highest results from previous methods.

| Dataset | Metric | GRU4Rec | Caser | BERT4Rec | $GRU4Rec_F$ | SASRec | $SASRec_F$ | S^3Rec | NOVA | ICAI | DIF-SR |
|---------|-----------|---------|--------|----------|-------------|--------|------------|----------|--------|--------|--------|
| Beauty | Recall@10 | 0.0530 | 0.0474 | 0.0529 | 0.0587 | 0.0828 | 0.0719 | 0.0868 | 0.0887 | 0.0879 | 0.0908 |
| | Recall@20 | 0.0839 | 0.0731 | 0.0815 | 0.0902 | 0.1197 | 0.1013 | 0.1236 | 0.1237 | 0.1231 | 0.1284 |
| Deadly | NDCG@10 | 0.0266 | 0.0239 | 0.0237 | 0.0290 | 0.0371 | 0.0414 | 0.0439 | 0.0439 | 0.0439 | 0.0446 |
| | NDCG@20 | 0.0344 | 0.0304 | 0.0309 | 0.0369 | 0.0464 | 0.0488 | 0.0531 | 0.0527 | 0.0528 | 0.0541 |
| | Recall@10 | 0.0312 | 0.0227 | 0.0295 | 0.0394 | 0.0526 | 0.0435 | 0.0517 | 0.0534 | 0.0527 | 0.0556 |
| Cmonto | Recall@20 | 0.0482 | 0.0364 | 0.0465 | 0.0610 | 0.0773 | 0.0640 | 0.0758 | 0.0759 | 0.0762 | 0.0800 |
| Sports | NDCG@10 | 0.0157 | 0.0118 | 0.0130 | 0.0199 | 0.0233 | 0.0235 | 0.0249 | 0.0250 | 0.0243 | 0.0264 |
| | NDCG@20 | 0.0200 | 0.0153 | 0.0173 | 0.0253 | 0.0295 | 0.0286 | 0.0310 | 0.0307 | 0.0302 | 0.0325 |
| | Recall@10 | 0.0370 | 0.0361 | 0.0533 | 0.0492 | 0.0831 | 0.0733 | 0.0967 | 0.0978 | 0.0972 | 0.1013 |
| Toxic | Recall@20 | 0.0588 | 0.0566 | 0.0787 | 0.0767 | 0.1168 | 0.1052 | 0.1349 | 0.1322 | 0.1303 | 0.1382 |
| Toys | NDCG@10 | 0.0184 | 0.0186 | 0.0234 | 0.0246 | 0.0375 | 0.0417 | 0.0475 | 0.0480 | 0.0478 | 0.0504 |
| | NDCG@20 | 0.0239 | 0.0238 | 0.0297 | 0.0316 | 0.0460 | 0.0497 | 0.0571 | 0.0567 | 0.0561 | 0.0597 |
| Yelp | Recall@10 | 0.0361 | 0.0380 | 0.0524 | 0.0361 | 0.0650 | 0.0413 | 0.0589 | 0.0681 | 0.0663 | 0.0698 |
| | Recall@20 | 0.0592 | 0.0608 | 0.0756 | 0.0578 | 0.0928 | 0.0675 | 0.0902 | 0.0964 | 0.0940 | 0.1003 |
| | NDCG@10 | 0.0184 | 0.0197 | 0.0327 | 0.0182 | 0.0401 | 0.0216 | 0.0338 | 0.0412 | 0.0400 | 0.0419 |
| | NDCG@20 | 0.0243 | 0.0255 | 0.0385 | 0.0236 | 0.0471 | 0.0282 | 0.0416 | 0.0483 | 0.0470 | 0.0496 |

Table 3: Performance comparison of self-attention based sequential models with their DIF & AAP incorporated version on Beauty, Sports and Toys datasets.

| Dataset | Metric | DIF-SASRec | | DIF-BERT4Rec | | Improv. | | |
|----------|-----------|------------|--------------|--------------|--------|------------|--------------|--|
| Dataset | | w/o | \mathbf{w} | w/o | w | DIF-SASRec | DIF-BERT4Rec | |
| | Recall@10 | 0.0828 | 0.0908 | 0.0529 | 0.0579 | 9.66% | 9.45% | |
| | Recall@20 | 0.1197 | 0.1284 | 0.0815 | 0.0915 | 7.27% | 12.27% | |
| Donutu | NDCG@10 | 0.0371 | 0.0446 | 0.0237 | 0.0279 | 20.22% | 17.72% | |
| Beauty | NDCG@20 | 0.0464 | 0.0541 | 0.0309 | 0.0363 | 16.59% | 17.48% | |
| | Recall@10 | 0.0526 | 0.0556 | 0.0295 | 0.0394 | 5.70% | 33.56% | |
| | Recall@20 | 0.0773 | 0.0800 | 0.0465 | 0.0611 | 3.49% | 31.40% | |
| Consulto | NDCG@10 | 0.0233 | 0.0264 | 0.0130 | 0.0198 | 13.30% | 52.31% | |
| Sports | NDCG@20 | 0.0295 | 0.0325 | 0.0173 | 0.0252 | 10.17% | 45.66% | |
| | Recall@10 | 0.0831 | 0.1013 | 0.0533 | 0.0599 | 21.90% | 12.38% | |
| | Recall@20 | 0.1168 | 0.1382 | 0.0787 | 0.0851 | 18.32% | 8.13% | |
| Т | NDCG@10 | 0.0375 | 0.0504 | 0.0234 | 0.0324 | 34.40% | 38.46% | |
| Toys | NDCG@20 | 0.0460 | 0.0597 | 0.0297 | 0.0387 | 29.78% | 30.30% | |

Table 4: Ablation study of DIF and AAP on Yelp, Sports and Beauty datasets.

| Settings Yelp | | Spo | rts | Beauty | | | |
|---------------|-----|-----------|-----------|-----------|-----------|-----------|-----------|
| DIF | AAP | Recall@20 | $+\Delta$ | Recall@20 | $+\Delta$ | Recall@20 | $+\Delta$ |
| Х | Х | 0.0663 | - | 0.0621 | - | 0.0996 | - |
| X | / | 0.0663 | +0% | 0.0754 | +21.42% | 0.0991 | -0.50% |
| / | × | 0.0968 | +46.00% | 0.0767 | +23.51% | 0.1240 | +24.50% |
| ✓ | ✓ | 0.1003 | +51.28% | 0.0800 | +28.82% | 0.1284 | +28.92% |

Table 5: Performance comparison of using different kinds of side information on Yelp dataset.

| Side-info | Recall@10 | Recall@20 | NDCG@10 | NDCG@20 |
|------------------------------|-----------|-----------|---------|---------|
| Position | 0.0655 | 0.0954 | 0.0405 | 0.048 |
| Position + Categories | 0.0698 | 0.1003 | 0.0419 | 0.0496 |
| Position + City | 0.0691 | 0.1001 | 0.0415 | 0.0493 |
| Position + Categories + City | 0.0699 | 0.1010 | 0.0421 | 0.0499 |

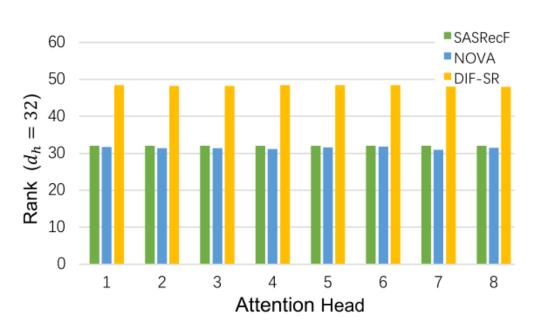


Figure 1: Rank of attention matrices: A comparison of the average rank of attention score matrices of early-integrated embedding based solutions, i.e., SASRecF and NOVA, and our proposed DIF-SR. The early-integration of embeddings leads to lower rank of the attention matrices and limits the expressiveness.

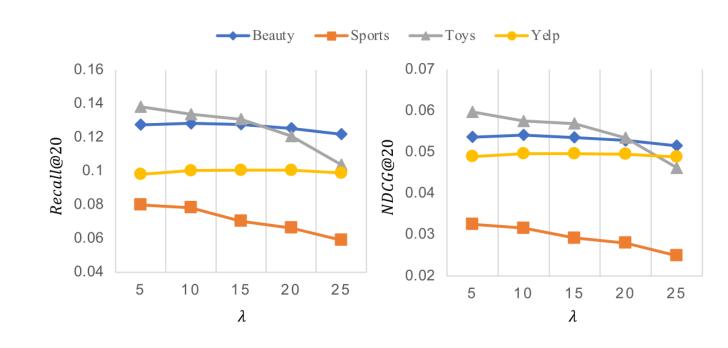


Figure 4: Effect of balance parameter λ .

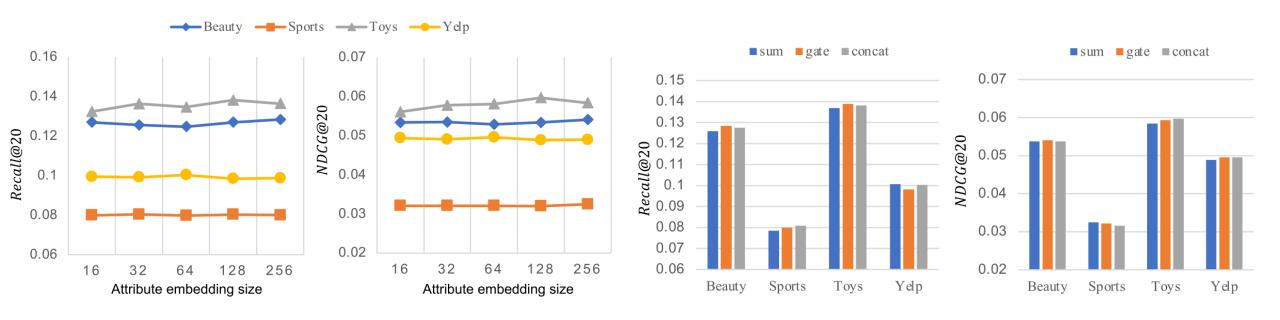


Figure 5: Effect of attribute embedding size d_f .

Figure 6: Effect of fusion functions \mathcal{F} .

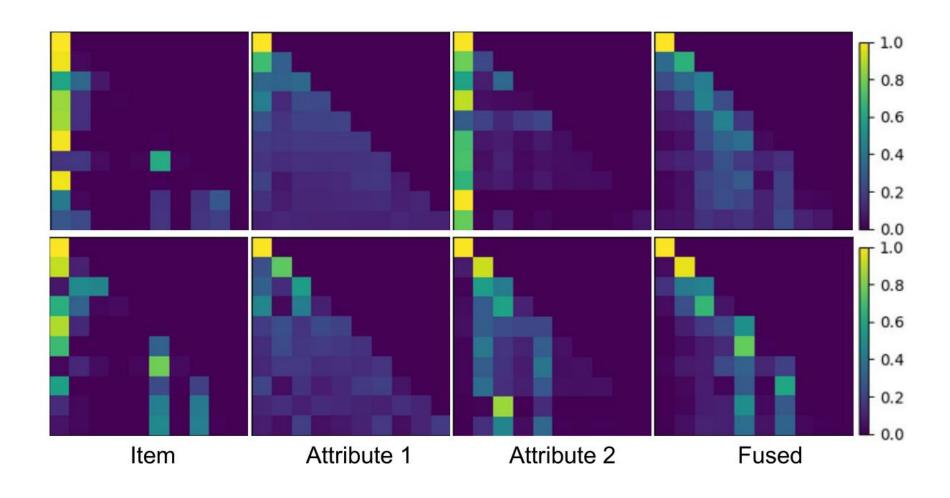


Figure 7: Visualization of sampled attention matrices.



Thanks

```
INFO best valid: {'recall@3': 0.0518, 'recall@5': 0.0768, 'recall@10': 0.113, 'recall@20': 0.1585, 'ndcg@3': 0.0333, 'ndcg@5': 0.0
15 Nov 16:18
435, 'ndcg@10': 0.0553, 'ndcg@20': 0.0667}
                 INFO test result: {'recall@3': 0.0402, 'recall@5': 0.0579, 'recall@10': 0.088, 'recall@20': 0.1268, 'ndcg@3': 0.0266, 'ndcg@5': 0.0
15 Nov 16:18
338, 'ndcg@10': 0.0435, 'ndcg@20': 0.0533}
1030 3010367555<u>31</u>
              INFO best valid: {'recall@3': 0.0295, 'recall@5': 0.0432, 'recall@10': 0.0672, 'recall@20': 0.098, 'ndcg@3': 0.0181, 'ndcg@5': 0.0238, 'ndcg@10': 0.0315, 'ndc
15 Nov 21:08
g@20': 0.0393}
15 Nov 21:08
              INFO test result: {'recall@3': 0.024, 'recall@5': 0.0343, 'recall@10': 0.053, 'recall@20': 0.0762, 'ndcg@3': 0.0148, 'ndcg@5': 0.019, 'ndcg@10': 0.025, 'ndcg@2
0': 0.0308}
7070.024189710617
(lmq) bigdata2@bigdata2:~/lmq/DIF-SR-main$
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16 Nov 10:44
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(lmq) bigdata2@bigdata2:~/lmq/DIF-SR-main$
```

```
17 Nov 12:11 INFO best valid : {'recall@3': 0.0276, 'recall@5': 0.0411, 'recall@10': 0.0639, 'recall@20': 0.0969, 'ndcg@3': 0.0167, 'ndcg@5': 0.0223, 'ndcg@10': 0.0296, 'ndcg@20': 0.0379}
17 Nov 12:11 INFO test result: {'recall@3': 0.027, 'recall@5': 0.0377, 'recall@10': 0.0579, 'recall@20': 0.0824, 'ndcg@3': 0.0167, 'ndcg@5': 0.0212, 'ndcg@10': 0.0277, 'ndcg@20': 0.0338}
3215.3000197410583
(lmq) bigdata2@bigdata2:~/lmq/DIF-SR-main$
```